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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/717,653

11/21/2003

Thomas P. Jerussi

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9144

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7590

03/16/2007

JONES DAY

222 East 41st Street

New York, NY 10017-6702

EXAMINER

RAE, CHARLESWORTH E

ART UNIT

PAPER NUMBER

1614

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
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3 MONTHS

03/16/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

**Office Action Summary**

Application No.

10/717,653

Applicant(s)

JERUSSI, THOMAS P.

Examiner

Charlesworth Rae

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1614

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 17 November 2006.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 41-52 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 51-52 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

### **DETAILED ACTION**

Applicant's arguments, filed 11/17/06, have been fully considered but they are not deemed to be persuasive. Rejections and/or objections not reiterated from previous office actions are hereby withdrawn. The following rejections and/or objections are either reiterated or newly applied. They constitute the complete set of actions being applied to the instant application.

Receipt of the amendments to the specification, title and claims are acknowledged and made of record. The amendments to the specification and title are deemed to be acceptable and are made of record. The amendments to the specification directed at correcting spelling errors do not constitute new matter.

### **Terminal Disclaimer**

Applicant asserts that the provisional nonstatutory double patenting rejection of claims 41-51 should be withdrawn in view of the timely filed Terminal Disclaimer in the co-pending Application No. 10/769,860 (US Patent Application Pub. No. 2004/0162355 A1). Applicant's argument is found to be persuasive. The provisional nonstatutory double patenting rejection is withdrawn.

### ***Status of the Claims***

Claims 41-52 are currently pending in this application and are the subject of this Office action.

### **Summary of Rejections**

Claims 41-52 are rejected under 103(a) for reasons stated below.

#### **Claim rejections – 35 USC 103(a)**

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 41 and 48-52 are rejected under 103(a) as being unpatentable over Scott et al. (Scott et al. The effects of BTS 54 505, a metabolite of sibutramine, on monoamine and excitatory amino acid-evoked responses in the dorsolateral geniculate nucleus in vivo. Br. J. Pharmacol., 111:97-102 (1994), in view of Young (WO 94/00114), and in view of Harrison's Principles of Internal Medicine (1994).

Scott et al. The effects of BTS 54 505, a metabolite of sibutramine, on monoamine and excitatory amino acid-evoked responses in the dorsolateral geniculate

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nucleus in vivo. Br. J. Pharmacol., 111:97-102 (1994). Scott et al. teach that the primary and secondary amine metabolites of sibutramine (i.e. BTS 54 505, or desmethylsibutramine, and BTS 54 3554, or didesmethylsibutramine) have a similar pharmacological profile to the parent compound in vivo, but are up to 100 fold more potent than sibutramine as monoamine uptake inhibitors in vitro (page 97, column 1, lines 11-16; see also Figure 1). Scott et al. also teach the in vitro data indicate that the pharmacological effects of sibutramine in vivo are mainly due to the activity of its primary and secondary amine metabolites (page 97, column 1, lines 16-20). Scott et al. also disclose that tricyclic antidepressants have a number of side effects which arise from their affinity for muscarinic cholinergic receptors and histamine receptors; these side effects may limit their therapeutic use in the treatment and/or prevention of NMDA-induced toxicity and neurodegeneration (page 101, column 2, last paragraph, lines 15-21). Scott et al. further disclose that since sibutramine and its active metabolite BTS 54 505 have no significant affinity for muscarinic receptors,  $\alpha_1$ ,  $\alpha_2$ ,  $\beta$  adrenoceptors, dopamine D1 and D2 receptors, and 5-HT<sub>1</sub> and 5-HT<sub>2</sub> receptors, sibutramine and BTS 54 505 may result in fewer and less pronounced side-effects than the tricyclic antidepressants (page 101, column 2, last paragraph, lines 21-27). Scott et al. do not teach the instant method for treating narcolepsy.

Young, JW (WO 94/00114) teach compositions containing optically pure (-) sibutramine, which possess potent activity in treating depression, obesity and weight gain, and useful in treating disorders ameliorated by inhibition of neuronal monoamine reuptake inhibitor; sibutramine inhibit the reuptake of several monoamines such as

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dopamine, noradrenaline, and serotonin (page 1). Young, JW teach disorders ameliorated by neuronal monoamine reuptake inhibition to include, but are no limited to, Parkinson's disease and depression (page 2, lines 2-4). In addition, Young teaches that the magnitude of a therapeutic dose of (-) sibutramine in the acute or chronic management of a disease will vary with the severity of the condition to be treated and the route of administration (page 18, line 33 to page 19, line 5). Young teach that the recommended daily dose of (-) sibutramine range from about 1 mg to about 60 mg per day (page 19, lines 5 to 9). Young also teaches that any suitable route of administration may be employed for providing the patient with an effective dosage of (-) sibutramine e.g. oral, rectal, parenteral, transdermal; dosage forms include tablets, troches, dispersions, suspensions, solutions, capsules, patches, and the like (page 21, lines 7-14). In addition, Young teaches that the magnitude of a therapeutic dose of (-) sibutramine in the acute or chronic management of a disease will vary with the severity of the condition to be treated and the route of administration (page 18, line 33 to page 19, line 5). Young teach that the recommended daily dose of (-) sibutramine range from about 1 mg to about 60 mg per day (page 19, lines 5 to 9). Young also teaches that any suitable route of administration may be employed for providing the patient with an effective dosage of (-) sibutramine e.g. oral, rectal, parenteral, transdermal; dosage forms include tablets, troches, dispersions, suspensions, solutions, capsules, patches, and the like (page 21, lines 7-14). However, Young does not teach the instant metabolites.

Harrison's Principles of Internal Medicine (1994) teaches that the diagnosis of narcolepsy require the presence of the "narcolepsy tetrad," consisting of 1) excessive daytime somnolence, 2) cataplexy, 3) hypnagogic hallucinations (the occurrence of vivid hallucinationary dream imagery at sleep onset), and 4) sleep paralysis (an awareness that voluntary musculature is paralyzed coincident with the onset of sleep (page 167, 4<sup>th</sup> full paragraph). Harrison's teaches that even though early experimental studies that focused on the raphe nuclei of the brainstem appeared to implicate serotonin as the primary sleep-promoting neurotransmitter, subsequent work has demonstrated that the raphe-serotonin system may facilitate sleep but is not necessary to its expression; the extensive pharmacology of sleep and wakefulness suggests roles for other neurotransmitters as well (page 165, column 1, 3<sup>rd</sup> full paragraph). Harrison's also teaches that treatment of narcolepsy is symptomatic (page 167, second to last paragraph, line 1). Harrison's teaches that treatment of cataplexy, hypnagogic hallucinations, and sleep paralysis require antidepressants and that the efficacy of protriptyline, the most commonly used anti-cataplectic in the United States, is limited by its anticholinergic side effects (page 167, column 2, last two paragraphs).

Based on the teaching of Scott et al. that the primary and secondary amine metabolites of sibutramine (i.e. desmethylsibutramine and didesmethylsibutramine) have a similar pharmacological profile to the parent compound in vivo, but are up to 100 fold more potent than sibutramine as monoamine uptake inhibitors in vitro, coupled with the teaching that sibutramine and BTS 54 505 may result in fewer and less pronounced side-effects than the tricyclic antidepressants, someone of skill in the art at the time the

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instant invention was made would have been motivated to combine the teaching of Scott et al., in view of Young, and in view of Harrison's to create a method of treating narcolepsy comprising administering to a patient BTS 54 505 or BTS 54 354. To the extent that the racemate of BTS 54 505 and BTS 54 354 comprises the instant claimed individual isomers, the instant claimed isomers are reasonably considered to be obvious variants over the corresponding racemate because of their presence in the racemate. It would further be expected that one of the instant isomers would be more active than the other and the racemate exhibit the combined effects.

Pharmaceutically acceptable salts, or solvate, or hydrate of BTS 54 505 and BTS 54 354, the routes of administration of the instant claimed isomers are reasonably considered to be obvious variants as they are within the capabilities of the artisan of ordinary skill in the art in the absence of evidence to the contrary.

Thus, someone of skill in the art at the time the instant invention was made would have found it obvious to create the instant invention with a reasonable expectation of success in view of Scott et al., in view of Young, and in view of Harrison's.

Claims 42-47 are rejected under 103(a) as being unpatentable over Scott et al., in view of Young, in view of Harrison's, and further in view of Gundlah et al. (Gundlah et al. In vivo criteria to differentiate monoamine reuptake inhibitors from releasing agents: sibutramine is a reuptake inhibitor. Pharmacology and Experimental Therapeutics, 283 (2):581-591 (1997); **electronic copy**, pages 1-18).



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The above discussions of Scott et al., Young, and Harrison's are incorporated by reference.

Gundlah et al. teach that BTS 54 505 produce a dose-dependent increase in hypothalamic 5-HT following systemic administration of 1, 3, and 10 mg/kg i.p. to rats (see Methods, pages 3-4). Although Young and Gundlah et al. do not specifically teach sibutramine metabolite administered in doses of 0.1 to 60 mg per day, or the specific relative ratios of the isomers, these limitations are within the skill of the ordinary artisan in the art and are considered to constitute pharmaceutical optimization in the absence of evidence to the contrary.

Based on the teaching of Scott et al. that the primary and secondary amine metabolites of sibutramine (i.e. desmethylsiburamine and didesmethylsibutramine) have a similar pharmacological profile to the parent compound in vivo, but are up to 100 fold more potent than sibutramine as monoamine uptake inhibitors in vitro, coupled with the teaching that sibutramine and BTS 54 505 may result in fewer and less pronounced side-effects than the tricyclic antidepressants, someone of skill in the art at the time the instant invention was made would have been motivated to combine the teaching of Scott et al., in view of Young, in view of Harrison's, and further in view of Gundlah et al. to create a method of treating narcolepsy comprising administering to a patient BTS 54 505 or BTS 54 354.

Thus, someone of skill in the art at the time the instant invention was made would have found it obvious to create the instant invention with a reasonable expectation of

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success in view of Scott et al., in view of Young, in view of Harrison's, and further in view of Gundlach et al.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Charlesworth Rae whose telephone number is 571-272-6029. The examiner can normally be reached between 8 a.m. to 4:30 p.m. Monday to Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ardin Marschel, can be reached at 571-272-0718. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have any questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 800-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

8 March 2007  
CER

BRIAN-YONG S. KWON  
PRIMARY EXAMINER

